

SECTOR *[Handwritten mark]*

04676.105047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Russell M. Medford et al.

Serial No.: 09/436,892

Filed: November 9, 1999

For: Methods and Compositions to Lower Plasma Cholesterol Levels



Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL OF NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed on December 8, 1999, the following documents are transmitted herewith.

1. Notice to File Missing Parts of Application PTO-1533 (Part 2)
2. Check in the amount of \$724.00
  - a. Surcharge for Missing Parts (\$644.00)
  - b. Assignment Fees (\$80.00)
3. Declaration of Patent Application
4. Recordation of Assignment Cover Sheet
  - a. Assignment of Patent Application by Russell M. Medford
  - b. Assignment of Patent Application by Uday Saxena
5. Certificate of Mailing

Please charge any insufficiency or credit any overpayments of fees to Deposit Account No. 11-0980.

Respectfully submitted,

*Jacqueline Haley*

Jacqueline Haley  
Reg. No. 41,457

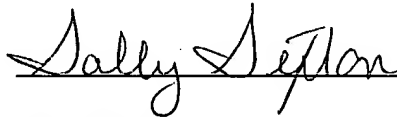
KING & SPALDING  
191 Peachtree Street  
Atlanta, GA 30303  
(404) 572-3541

04676.105047



CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Sally Sexton

Date: January 6, 2000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/436,892 11/09/99 MEDFORD



R 04676.105045

SHERRY M KNOWLES ESQ  
KING & SPAULDING  
191 PEACHTREE STREET  
ATLANTA GA 30303-1763

0262/1208

NOT ASSIGNED

DATE MAILED: 1646

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

12/08/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 647.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☒ insufficient.  
Applicant must submit \$ 46 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:  
\$ 468 for 6 total claims over 20.  
\$ 6 for 6 independent claims over 3.  
\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

HARRISON T-6

04676.105041 US

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

CMK

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12/11/99

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01/13/2000 YG12AN  
01 FC:102  
02 FC:105  
03 FC:199  
468.00 OP  
130.00 OP  
46.00 OP